Extensions of nilpotent Lie algebras of type 2

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(joint work with Daniel de-La-Concepción)

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 - Standard terminology and definition
 - Main features
- 3 Free nilpotent of type 2
 - Two main results
 - Derivations and automorphisms t=1,2,3
 - Rudin-Wintertitz and Ancochea et al. classifications revisited

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Context

Levi's theorem (E. E. Levi, 1905)

Any finite dimensional Lie algebra of characteristic zero splits as sum of its solvable radical and a semisimple algebra.

So, given $\mathfrak g$ Lie and $\mathfrak r$ its solvable radical, $\mathfrak g=\mathfrak s\oplus\mathfrak r$ with $\mathfrak s$ s/s. We note that:

- s is called Levi subalgebra of g;
- τ is an s-módulo via the adjoint representation, ad_r;
 in fact, ad_r s is a subalgebra of Der τ, Lie algebra of derivations of τ.

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in fact, $ad_{\mathfrak{r}}\mathfrak{s}$ is a subalgebra of $Der \mathfrak{r}$, Lie algebra of derivations of \mathfrak{r} .

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Moreover, given \mathfrak{s}_1 and \mathfrak{s}_2 semisimple subalgebras of \mathfrak{s}_0 , the algebras $\mathfrak{s}_1 \oplus_{id} \mathfrak{r}$ and $\mathfrak{s}_2 \oplus_{id} \mathfrak{r}$ are isomorphic if and only if $\mathfrak{s}_2 = A\mathfrak{s}_1 A^{-1}$, where A is an authomorphism of \mathfrak{r} , named Aut \mathfrak{r} .

^awithout semisimple ideals.

^bAny Lie algebra \mathfrak{a} decomposes as a direct sum of ideals $\mathfrak{a} = \mathfrak{s}' \oplus \mathfrak{g}$, with \mathfrak{s}' semisimple and \mathfrak{g} faithful.

 ${}^{c}\mathfrak{s}$ and \mathfrak{r} viewed as subalgebras of \mathfrak{g} , and [s,a] = s(a) for $s \in \mathfrak{s}$ and $a \in \mathfrak{r}$.

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Problem

Classification of Lie algebras of a given radical

• Which radical?

Following Malcev's 1944, solvable radical r.

Following Malcev's 1945, (...) solvable Lie algebras can be classify through nilpotent ones (...)

Following Sato's 1971, (...) *nilpotent Lie algebras are quotients of free nilpotents* ones(...)

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In this talk

Lie algebras of nilradical a free nilpotent Lie algebra of type 2. ^a

^aPilar Benito and Daniel De-la-Concepción (2013): A note on extensions of nilpotent Lie algebras of type 2 arXiv:1307.8419

P. Benito, D. de-la-Concepción Extensions of nilpotent Lie algebras of type 2

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Related preliminary results

Among others (...)

- General context (*)
 - L. Auslander, J. Brezin (1968)²

Malcev decompositions, i.e., Levi decomposition focus on nilradical.

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Lie algebras with nilradical a Heisenberg algebra.

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Related preliminary results

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Y. Wang, Y. Lin and S. Deng (2008)⁵

Nilradical a nilpotent Lie algebra of type 2 or 3 and of maximal nilindex; in low nilindex they are free nilpotent.

J.M. Ancochea-Bermúdez, R. Campoamor-Stursberg, L. García-Vergnolle (2011)⁶

As previous one but adding non-solvable extensions.

W. Dengyin, X.L. Hui-Ge (2012)⁷

Nilradical a maximal subalgebra of a symplectic algebra.

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Examples and features

• Heisenberg algebras⁸ \mathfrak{h}_{2n+1} ($n \geq 1$):

Der $\mathfrak{h}_{2n+1} = \mathfrak{sp}_{2n}(V, b) \oplus \mathfrak{n}$, \mathfrak{n} nilpotent radical

[R&W, 1993]

The classification of **solvable** algebras with nilradical \mathfrak{h}_{2n+1} boils down to a classification of abelian subalgebras of the symplectic algebra $\mathfrak{sp}_{2n}(V, b)$. For n = 1, 2, real and complex fields: complete classification.

The Non-solvable case? Open.

⁸Center one dimensional and equal to $\mathfrak{h}_{2n+1}^2 = kz$, $\mathfrak{h}_{2n+1} = V \oplus kz$.

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dim $f_n/(f_n)^2 = 2$ and dim $q_n/(q_n)^2 = 2,3$

 \implies Classification encoded by free nilpotent of types 2,3;

$\mathfrak{f}_3 \cong \mathfrak{h}_3$ and \mathfrak{q}_5 are free nilpotent of type 2.

⁹Defined as nilpotent Lie algebras with dimension *n* and nilindex n - 1 (f-case) or n - 2 (q-case).

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Examples and features

The derivation algebra of \mathfrak{f}_3 and \mathfrak{q}_5 have the simple split 3-dimensional algebra $\mathfrak{sl}_2(k)$ as Levi subalgebra: Exceptional feature :-)).

For sizes $n \neq 3$, $m \neq 5$, Der f_n and Der q_m are solvable. So:

Only solvable Lie algebras can have filiform or quasifiliform nilradicals (sizes different from 3 and 5), if we are looking for nontrivial decompositions.

[AB&CS&GV, 2011]

Classify Lie algebras with nilradical a "natural graded" filiform or quasifiliform algebra. (Some errors in the classification)

Non-"natural graded"? Open.
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Standard terminology and definition Main features

Terminology

(\mathfrak{n} , [a, b]) nilpotent Lie algebra ($\mathfrak{n}^{t+1} = 0$), Lower central series: \mathfrak{n} , $\mathfrak{n}^2 = [\mathfrak{n}, \mathfrak{n}]$, $\mathfrak{n}^3 = [\mathfrak{n}, \mathfrak{n}^2]$, ..., $\mathfrak{n}^{j+1} = [\mathfrak{n}, \mathfrak{n}^j]$; Nil-index: smallest t such that $\mathfrak{n}^{t+1} = 0$; type: codimension of \mathfrak{n}^2 in \mathfrak{n} ; Der \mathfrak{n} : derivation Lie algebra; Aut \mathfrak{n} : automorphism group.

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Free nilpotent definition

Let $\mathfrak{FL}(\mathfrak{m})$ be the free Lie algebra on $\mathfrak{m} = \{x_1, \ldots, x_d\}$,

For any $t \ge 1$, the quotient

$$\mathfrak{n}_{d,t} = rac{\mathfrak{FL}(\mathfrak{m})}{\mathfrak{FL}(\mathfrak{m})^{t+1}}$$

is call free *t*-nilpotent Lie algebra on *d*-generators.

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Free nilpotent basic facts

- Type $n_{d,t} = d$ and $n_{d,t}$ is *t*-nilpotent.
- ² Graduated: $\mathfrak{n}_{d,t} = \mathfrak{m} \oplus \mathfrak{m}^2 \oplus \cdots \oplus \mathfrak{m}^t$.
- Any t-nilpotent and d-type is a quotient of n_{d,t}.
- For a given quotient

$$\mathfrak{n} = \frac{\mathfrak{n}_{d,t}}{J}$$
, we have Der $\mathfrak{n} = \frac{\mathcal{D}_J}{\mathcal{D}_0}$,

- ^⑤ Der $n_{d,t} = \mathfrak{sl}_d(k) \oplus \mathfrak{r}$, \mathfrak{r} solvable radical¹⁰.
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^{10,} a T. Sato: The derivations of the Lie algebras, Tohoku. Math. Journ., 23 (1971) 24 🚳 + 4 E + 4 E + E - D 9 0

Standard terminology and definition Main features

Free nilpotent basic facts

- Type $n_{d,t} = d$ and $n_{d,t}$ is *t*-nilpotent.
- **2** Graduated: $\mathfrak{n}_{d,t} = \mathfrak{m} \oplus \mathfrak{m}^2 \oplus \cdots \oplus \mathfrak{m}^t$.
- Any *t*-nilpotent and *d*-type is a quotient of n_{d,t}.
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where \mathcal{D}_J is the subalgebra of *J*-invariant derivations and \mathcal{D}_0 is the set of derivations which send $\mathfrak{n}_{d,t}$ onnto J^a .

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^{10,} *a*T. Sato: The derivations of the Lie algebras, Tohoku. Math. Journ., 23 (1971) 21-36.

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The derivation Lie algebra

$$\operatorname{Der} \mathfrak{n}_{d,t} = \bigoplus_{j=1}^{t} \operatorname{Der}_{j} \mathfrak{n}_{d,t},$$

where $\operatorname{Der}_{j} \mathfrak{n}_{d,t} = \{ d \in \operatorname{Der} \mathfrak{n}_{d,t} : d(\mathfrak{m}) \subseteq \mathfrak{m}^{j} \}.$

3 $id_{d,t}|_{\mathfrak{m}^s} = s \cdot Id; [id_{d,t}, d_s] = (s-1)d_s$ for any $d_s \in \operatorname{Der}_s \mathfrak{n}_{d,t}$.

- **5** Nilradical: $\mathfrak{N}_{d,t} = \bigoplus_{j>2}^{t} \operatorname{Der}_{j} \mathfrak{n}_{d,t};$
- **(b)** Radical: $\mathfrak{R}_{d,t} = k \cdot id_{d,t} \oplus \mathfrak{N}_{d,t};$

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$$(d_i, d_s] \in \operatorname{Der}_{i+s-1} \mathfrak{n}_{d,t};$$

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First fast result

According to Malcev's 44, the bigest faithful Lie algebra of characteristic zero with solvabale radical $n_{d,t}$ is:

$$\mathfrak{g}_{d,t} = \operatorname{Der}_1^0 \mathfrak{n}_{d,t} \oplus_{id} \mathfrak{n}_{d,t}.$$

Even more:

Any faithful Lie algebra of characteristic zero with solvable radical $\mathfrak{n}_{d,t}$ is isomorphic to a Lie algebra of the form $\mathfrak{s} \oplus_{id} \mathfrak{n}_{d,t}$ where \mathfrak{s} is either the null algebra or a semisimple subalgebra of $Der_1^0 \mathfrak{n}_{d,t} \cong \mathfrak{sl}_d(k)$ (simple Lie algebra of Cartan Type A_{d-1}). In particular, $\mathfrak{n}_{2,t}$, $\mathfrak{g}_{2,t}$ and the direct sum as ideals $\mathfrak{s} \oplus \mathfrak{n}_{2,t}$ and $\mathfrak{s} \oplus \mathfrak{g}_{2,t}$, where \mathfrak{s} is semisimple, exhaust the list of Lie algebras with solvable radical $\mathfrak{n}_{2,t}$.

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Benito&de-la-Concepción, 2013

Let \mathfrak{r} be a solvable Lie algebra with nilradical $\mathfrak{n}_{2,t}$. Then, $\mathfrak{r} = \mathfrak{n}_{2,t} \oplus \mathfrak{t}$ where \mathfrak{t} is a vector space equidimensional to the projection $\mathfrak{p}_1(\mathfrak{t}) = \operatorname{proj}_{\operatorname{Der}_1 \mathfrak{n}_{2,t}} \operatorname{ad}_{\mathfrak{n}_{2,t}} \mathfrak{t}$ which is an abelian subalgebra of $\operatorname{Der}_1 \mathfrak{n}_{2,t}$ of dimension at most 2 and the derivation set $\operatorname{ad}_{\mathfrak{n}_{2,t}} \mathfrak{t}$ contains no nilpotent elements. Moreover, in case $\mathfrak{t} = k \cdot x \oplus k \cdot y$, $\mathfrak{p}_1(\mathfrak{t}) = C_{\operatorname{Der}_1 \mathfrak{n}_{2,t}}(\delta)$ for some $\delta \in \operatorname{Der}_1 \mathfrak{n}_{2,t} \setminus k \cdot id_{2,t}$ and the braket derivation $[\operatorname{ad}_{\mathfrak{n}_{2,t}} x, \operatorname{ad}_{\mathfrak{n}_{2,t}} y]$ is an inner derivation of $\mathfrak{n}_{2,t}$.

In other words: only 1-solvable $n_{2,t} \oplus k \cdot x$, and 2-solvable $n_{2,t} \oplus k \cdot x \oplus k \cdot y$ extensions are possible; the extensions are given by derivations with some restrictions which are controled by the subalgebra $\text{Der}_1 n_{2,t} = \mathfrak{sl}_2(k) \oplus k \cdot id_{2,t}$.

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Two main results Derivations and automorphisms t=1,2,3 Rudin-Wintertitz and Ancochea et al. classifications revisited

Benito&de-la-Concepción, 2013

Let \mathfrak{g} be a non-solvable Lie algebra with nilradical $\mathfrak{n}_{2,t}$. Then \mathfrak{g} is one of the following Lie algebras:

- a) g = s ⊕ r is a direct sum as ideals of a semisimple algebra s and a solvable Lie algebra r with nilradical n_{2,t};
- b) $\mathfrak{g} = \mathfrak{s} \oplus \mathfrak{g}_{2,t}$, a direct sum as ideals where \mathfrak{s} is either the null algebra or any arbitrary semisimple algebra or

c) $\mathfrak{g} = \mathfrak{s} \oplus \mathfrak{g}(\delta)$, a direct sum as ideals where \mathfrak{s} is either the null algebra or any arbitrary semisimple algebra and $\mathfrak{g}(\delta)$ is the Lie algebra $\mathfrak{g}(\delta) = \mathfrak{g}_{2,t} \oplus k \cdot x$, with $[\operatorname{Der}_1^0 \mathfrak{n}_{2,t}, x] = 0$ and $ad_{\mathfrak{n}_{2,t}}x = id_{2,t} + \delta, \delta \in \mathfrak{N}_{2,t}$ where $\delta \in C_{\operatorname{Der}\mathfrak{n}_{2,t}}(\operatorname{Der}_1^0\mathfrak{n}_{2,t})$.

So: the algebras in a) depends on solvable extensions and those in c) are given by derivations that belong to the trivial module of $Der n_{2,t}$ with respect to their Levi subalgebra.

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Standar basis for $n_{2,t}$

The free algebra $n_{2,t}$ is determine by the $\mathfrak{sl}_2(k)$ -module \mathfrak{m} :

m	m ²	m ³	m ⁴	\mathfrak{m}^5
<i>V</i> (1)	V(0)	<i>V</i> (1)	V(2)	$V(3) \oplus V(1)$
v_0, v_1	$[v_0,v_1]=w_0$	$[v_0,w_0]=z_0$	$[v_0, z_0] = x_0$	
		$[v_0,w_0]=z_1$	$[v_0, z_1] = [v_1, z_0] = \frac{1}{2}x_1$	
			$[v_1,z_1]=x_2$	

Special feature: Nested basis

$$\mathfrak{n}_{2,1} = V(1) \mathfrak{n}_{2,2} = V(1) \oplus V(0) \mathfrak{n}_{2,3} = V(1) \oplus V(0) \oplus V(1)$$

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Der n_{2,3} :

in blue, $\text{Der}_1 \, \mathfrak{n}_{2,3}$ isomorphic to $\mathfrak{gl}_2(k)$

	$\alpha_1 + \beta$	$lpha_{2}$	0	0	0	١
	$lpha_{3}$	$-\alpha_1 + \beta$	0	0	0	
	α_4	α_{5}	2β	0	0	I
	$lpha_{6}$	α_7	α_5	$\alpha_1 + 3\beta$	α_2	
1	α_8	lpha9	$-\alpha_4$	$lpha_{3}$	$-\alpha_1 + 3\beta$	Ϊ

Aut $\mathfrak{n}_{2,3}$:

```
\epsilon = \alpha_1 \alpha_4 - \alpha_2 \alpha_3 \neq 0; in blue, GL_2(k)
```

1						
		$lpha_{4}$				
			$\alpha_1 \alpha_6 - \alpha_2 \alpha_5$	$\epsilon \alpha_1$		
(α_9	α_{10}	$\alpha_3 \alpha_6 - \alpha_4 \alpha_5$	$\epsilon lpha_3$	$\epsilon lpha_4$	

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$\left(\alpha_1 + \beta \right)$	$lpha_{2}$	0	0	0	/
α_3	$-\alpha_1 + \beta$	0	0	0	
α_4	α_5	2 β	0	0	
α_{6}	α_7	α_{5}	$\alpha_1 + 3\beta$	α_2	
$\langle \alpha_8 \rangle$	$lpha_{9}$	$-\alpha_4$	$lpha_{3}$	$-\alpha_1 + 3\beta$	

Aut n_{2.3} :

```
\epsilon = \alpha_1 \alpha_4 - \alpha_2 \alpha_3 \neq 0; in blue, GL_2(k)
```

1	α_1	α_2	0	0	0	
	α_3	$lpha_{4}$	0	0	0	
	α_{5}	α_{6}	ϵ	0	0	
	α_7	α_{8}	$\alpha_1 \alpha_6 - \alpha_2 \alpha_5$	$\epsilon \alpha_1$	$\epsilon \alpha_2$	
l	$lpha_{9}$	$lpha_{10}$	$\alpha_3 \alpha_6 - \alpha_4 \alpha_5$	$\epsilon lpha_3$	$\epsilon lpha_4$	

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Der $\mathfrak{n}_{2,1},$ Der $\mathfrak{n}_{2,2}$ and Der $\mathfrak{n}_{2,3}$: Cut and Paste descriptions

	$\alpha_1 + \beta$	α_2	0	0	0
n _{2,1}	α_3	$-\alpha_1 + \beta$	0	0	0
n _{2,2}	α_4	$lpha_{5}$	2 β	0	0
	$lpha_{6}$	$lpha_7$	α_5	$\alpha_1 + 3\beta$	α_2
$\mathfrak{n}_{2,3}$	$lpha_{f 8}$	lpha9	$-\alpha_4$	$lpha_{3}$	$-\alpha_1 + 3\beta$

P. Benito, D. de-la-Concepción Extensions of nilpotent Lie algebras of type 2

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$\left(\begin{array}{rrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrr$	n _{2,1}	$\left(\begin{array}{rrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrr$
	$\mathfrak{n}_{2,3}$	$\alpha = -1 \updownarrow \ \downarrow \ \alpha = -1$
$\left(\begin{array}{rrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrr$	$\stackrel{\mathfrak{n}_{2,2}}{\underset{\alpha=0}{\longleftrightarrow}}$	$\left(\begin{array}{cccccc} 1 & 0 & 0 & 0 & 0 \\ 0 & \alpha & 0 & 0 & 0 \\ 0 & 0 & 1+\alpha & 0 & 0 \\ 0 & 0 & 0 & 2+\alpha & 0 \\ 0 & 0 & 0 & 0 & 1+2\alpha \end{array}\right)$

1-extensions for $n_{2,1}$ and $n_{2,2} = 2$; # 1-extensions for $n_{2,3} = 4$.

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1-extensions for $n_{2,1}$ and $n_{2,2} = 2$; # 1-extensions for $n_{2,2} = 4$.

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1-extensions for $n_{2,1}$ and $n_{2,2} = 2$; # 1-extensions for $n_{2,3} = 4$.

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1-extensions for $\mathfrak{n}_{2,1}$, $\mathfrak{n}_{2,2}$ and $\mathfrak{n}_{2,3} = 1$. Even more: Unique extension given by a CSA of $\mathrm{Der}_1\mathfrak{n}_{2,t} \cong \mathfrak{gl}_2(k)$.

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2-solvable extensions' map

n _{2,1}	
n _{2,3}	
n _{2,2}	$\left(\begin{array}{ccccccc} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 2 & 0 & 0 \\ 0 & 0 & 0 & 3 & 0 \\ 0 & 0 & 0 & 0 & 3 \end{array}\right)$ $1 & 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 &$

1-extensions for $\mathfrak{n}_{2,1}$, $\mathfrak{n}_{2,2}$ and $\mathfrak{n}_{2,3} = 1$. Even more: Unique extension given by a CSA of $\text{Der}_1\mathfrak{n}_{2,t} \cong \mathfrak{gl}_2(k)$.

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Non-solvable extensions of type $\mathfrak{g}(\delta)$ (item c) 2nd main result) The centralizer $C_{\text{Der }\mathfrak{n}_{2,t}}(\text{Der}_1^0\mathfrak{n}_{2,t})$:

• t = 1, 2: $k \cdot id_{2,t}$ • $t = 3: C_{\text{Der } n_{2,t}}(\text{Der }_{1}^{0} n_{2,t}) = k \cdot id_{2,t} \oplus k \cdot \text{ad } \omega_{0}$, where $\omega_{0} = [v_{0}, v_{1}]$.

Up to isomorphisms, $\delta = id_{2,t}$, so

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is the unique possibility for t = 1, 2, 3.

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Introduction Two main results Free nilpotent algebras Derivations and automorphisms t=1,2,3 Free nilpotent of type 2 Rudin-Wintertitz and Ancochea et al. classifications revisited

Non-solvable extensions of type $\mathfrak{g}(\delta)$ (item c) 2nd main result) The centralizer $C_{\text{Der }\mathfrak{n}_{2,t}}(\text{Der}_1^0\mathfrak{n}_{2,t})$:

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