

Normalization Highlights

Need to know from book:

- definition of trivial FD
- definition of partial FD
- definition of transitive FD
- definitions of 1NF, 2NF, 3NF, and BCNF and be able to understand when schemas are in which normal form.

Goal: BCNF, lossless, DP

If can not achieve goal, settle for 3NF, lossless, DP

Assume R is decomposed into R_1, R_2 . The decomposition is lossless if either:

- $R_1 \cap R_2 \rightarrow R_1$ or
- $R_1 \cap R_2 \rightarrow R_2$

Def: F^+ = closure of F = set of all logically implied FDs

Algorithm for determining closure of attr set α :

resultAttr = α

resultFD = $\{\}$

while (changes to either result set)

{

$\forall FD \beta \rightarrow \gamma \in F$

if $\beta \subset resultAttr$

then {

resultAttr = $resultAttr \cup \gamma$

resultFD = $resultFD \cup (\beta \rightarrow \gamma)$

} }

Example1:

Assume $R = \{A, B, C, D, E, F, G\}$ and $F = \{B \rightarrow D, A \rightarrow B, CE \rightarrow F, CE \rightarrow G, A \rightarrow C\}$

Calculate A^+ :

iteration	resultAttr	resultFD
initial	A	
1	A,B	$A \rightarrow B$
1	A, B, C	$A \rightarrow B, A \rightarrow C$
2	A,B,C,D	$A \rightarrow B, A \rightarrow C, B \rightarrow D$
3	no change	no change

thus, $A^+ = \{A, B, C, D\}\{A \rightarrow B, A \rightarrow C, B \rightarrow D\}$.

Example2:

$F = \{B \rightarrow D, A \rightarrow B, CE \rightarrow F, CE \rightarrow G, A \rightarrow C\}$

Calculate AE^+ :

iteration	resultAttr	resultFD
initial	A,E	
1	A,B,E	$A \rightarrow B$
1	A,B,C,E	$A \rightarrow B, A \rightarrow C$
2	A,B,C,D,E	$A \rightarrow B, A \rightarrow C, B \rightarrow D$
2	A,B,C,D,E,F	$A \rightarrow B, A \rightarrow C, B \rightarrow D, CE \rightarrow F$
2	A,B,C,D,E,F,G	$A \rightarrow B, A \rightarrow C, B \rightarrow D, CE \rightarrow F, CE \rightarrow G$
3	no change	no change

thus, AE is a candidate key

Minimal Cover

Before defining minimal cover, we need to define extraneous attribute.

Def: Attr A is extraneous in α , $\alpha \rightarrow \beta$, if $A \in \alpha$ and $[(F - (\alpha \rightarrow \beta)) \cup ((\alpha - A) \rightarrow \beta)]^+ \equiv F^+$

Def: Attr A is extraneous in β , $\alpha \rightarrow \beta$, if $A \in \beta$ and $[(F - (\alpha \rightarrow \beta)) \cup (\alpha \rightarrow (\beta - A))]^+ \equiv F^+$

Def: F_c = minimal cover = set of FD such that:

- $F_c^+ \equiv F^+$
- no FD $\in F_c$ contains extraneous attributes
- every FD $\in F_c$ has a single value on the right hand side
- can not remove a FD from F_c and stil have equivalence to F^+

Minimal Cover Construction Algorithm:

1. Set $G = F$
2. replace each FD, $X \rightarrow A_1, A_2, \dots, A_n \in G$ by FDs $X \rightarrow A_1, X \rightarrow A_2, \dots, X \rightarrow A_n$
3. \forall FD $X \rightarrow A \in G$:
 \forall attribute B that is an element of X:
if $((G - \{X \rightarrow A\}) \cup \{(X - B) \rightarrow A\})$ is equivalent to G, then replace $X \rightarrow A$ with $(X - B) \rightarrow A$ in G.
4. \forall FD $X \rightarrow A \in G$, compute X^+ with respect to $\{G - (X \rightarrow A)\}$. If $A \in X^+$, remove $X \rightarrow A$ from G.

Note, you must do the steps in order. As your book shows, reversal of 3 and 4 may result in something that is NOT a minimal cover.

Example:

Let $F = \{A \rightarrow BC, B \rightarrow C, A \rightarrow B, AB \rightarrow C\}$. In step 2 we rewrite G as $\{A \rightarrow B, A \rightarrow C, B \rightarrow C, A \rightarrow B, AB \rightarrow C\}$. There are no extraneous attributes to remove in step 3. In step 4 we remove the first $A \rightarrow B$, then remove $A \rightarrow C$, then finally remove $AB \rightarrow C$. Thus, $F_c = \{A \rightarrow B, B \rightarrow C\}$.

Dependency Preservation

Consider the decomposition $R \Rightarrow R_1, R_2, \dots, R_N$.

Def: F_i = restriction of F to F_i = set of FDS that include only attributes of R_i .

Let $F' = F_1 \cup F_2 \cup \dots \cup F_N$.

If $F'^+ \equiv F^+$, then the decomposition is dependency preserving.

BCNF and Lossless Deomposition of R Algorithm:

1. Set $D = \{R\}$
2. while \exists schema $Q \in D$ that is not BCNF
 - {
 - (a) choose $Q \in D$ that is not BCNF
 - (b) find FD $X \rightarrow Y$ that violates BCNF
 - (c) replace Q by the two schemas $(Q - Y)$ and $(X \cup Y)$
 - }

Note, this decomposition is NOT guaranteed to be dependency preserving.

3NF, Lossless, and Dependency Preserving Deomposition of R Algorithm:

1. Find a minimal cover F_c for F
2. For each left hand side $X \in F_c$, create a relation scheme $(X \cup A_1 \cup A_2 \cup \dots \cup A_N)$ where $X \rightarrow A_1, X \rightarrow A_2, \dots, X \rightarrow A_N$ are all the dependencies in F_c with X in the left hand side.